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Form PTO 1449  
(Rev. 2-32)

U.S. Department of Commerce  
Patent and Trademark Office

Atty. Docket No.  
SUN-P6992

Serial No.  
10/014,893

JUN 19 2002

Information Disclosure Statement by Applicant

Applicant: de Jong et al.

Technology Center 210

Filed: 10/29/01 Group: 2152

2155

(Use several sheets if necessary)

U.S. Patent Documents

Init.		Document No.	Date	Name	Class	Subclass	Filing Date
KB	A	6,212,633	04/03/01	Levy et al.	713	153	06/26/98

Foreign Documents

Translation

Init.		Document No.	Date	Country	Class	Subclass	Yes	No

Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)

KB	B	Deianov, Borislav, "Authentication - Lamport Hash and Biometrics", printed on 1/9/02 from <a href="http://www.cs.cornell.edu/html/cs513-sp99/NL12.html">http://www.cs.cornell.edu/html/cs513-sp99/NL12.html</a> .
b	C	"Sun Leads Authentication Alliance", September 27, 2001, printed from <a href="http://www.informationweek.com/story/IWK20010927S0001">http://www.informationweek.com/story/IWK20010927S0001</a> .

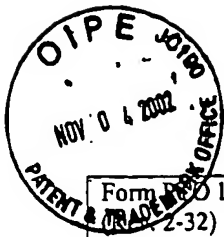
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Applicant: de Jong et al.

(Use several sheets if necessary)

Filed: 10/29/01 Group: ~~Not yet assigned~~  
2155

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KB	A	6,373,950	04/16/02	Rowney	380	255	06/17/96

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KB	C	Chan, "Infrastructure of Multi-Application Smart Card", <a href="http://home.hkstar.com/~alanchan/papers/multiApplicationsSmartCard/">http://home.hkstar.com/~alanchan/papers/multiApplicationsSmartCard/</a> , July 25, 2002
	D	Chen, Zhiqun, "Java Card™ Technology for Smart Cards", <i>Sun Microsystems</i> , pages 11- 16, June, 2000
	E	Cordonnier, et al., "The concept of suspicion: a new security model for identification management in smart cards", <a href="http://inforge.unil.ch/isdss97/papers/48.htm">http://inforge.unil.ch/isdss97/papers/48.htm</a> , (1997)
KB	F	de Kerf, B. et al., "N-Count Fast, Low-Cost Secure Payments using Smart Cards", QC Technology, Version 1.1, February 1997, 8 pages.

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LIST OF REFERENCES CITED BY APPLICANT(S)	Application No.: 10/037,498	Sheet 1 of 1
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	Filed: 1/2/2002	Conf. No.: 6276
	Applicant: UNGER ET AL.	

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VB	4,739,510	4/19/1988	Jeffers et al.		4/2/1987
	5,018,197	5/21/1991	Jones et al.		7/30/1990
	5,247,575	9/21/1993	Sprague et al.		4/24/1992
	5,629,981	5/13/1997	Nerlikar		7/29/1994
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	5,915,018	6/22/1999	Aucsmith		11/5/1996
U	6,229,895	5/8/2001	Son et al.		3/12/1999

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Exam. Init.	Document
VB	ANONYMOUS, New Digital Copy Protection Proposal Would Secure Authorized Copies, PR Newswire, November 1998, pages 1-3.
VB	"Pre-encryption Profiles Concept Overview and Proposal" Rev. 1.2, John B. Carlucci, December 5, 2000.

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Date: 1/12/05

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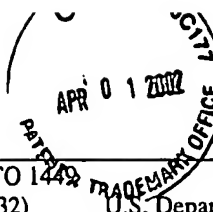
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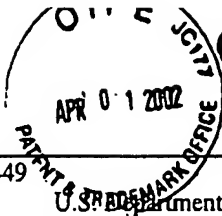




Form PTO 449 (Rev. 2-32)				U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. SUN-6992		Serial No. 10/014,893	
Information Disclosure Statement by Applicant						Applicant: de Jong et al.			
(Use several sheets if necessary)						Filed: 10/29/01 Group:			
<b>U.S. Patent Documents</b>									
Init.		Document No.	Date	Name	Class	Subclass	Filing Date		
✓	A	5,721,781	02/24/98	Deo et al.	380	25	09/13/95		
	B	5,742,756	04/21/98	Dillaway et al.	395	186	02/12/96		
	C	5,802,519	09/01/98	De Jong	707	100	02/08/95		
	D	5,844,218	12/01/98	Kawan et al.	235	380	07/16/96		
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✓	G	6,094,656	07/25/00	De Jong	707	100	08/02/96		
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Init.		Document No.	Date	Country	Class	Subclass	Translation		
	H	0 748 135	11/12/96	Europe	H04Q 7	22	Yes	No	
✓	I	94/30023	12/22/94	PCT	H04Q 7	04	X		
	J	97/45817	12/04/97	PCT	G07F 7	10	X		
	K	98/19237	05/07/98	PCT	G06F 9	46	X		
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✓	M	01/06391	01/25/01	PCT	G06F 17	00	X		
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	O	Anderson et al., "A New Family of Authentication Protocols", Cambridge University Computer Laboratory, England, pp. 1-13.							
	P	Berlind, David, "Who Do You Trust?", October 16, 2001, printed from <a href="http://www.zdnet.com/filters/printerfriendly/0.6061.2818131-92.00.html">http://www.zdnet.com/filters/printerfriendly/0.6061.2818131-92.00.html</a> on 1/9/02.							
	Q	Dreifus, H., "Smart Cards: A guide to Building and Managing Smart Card Applications; Copyright 1998; Publisher Robert Ipsen; "Smart Card Development Skills, Methods, and Tools"; pp. 159-225.							
	R	Evers, Joris, "Update: Sun, others form MS Passport alternative", Sun Microsystems, Inc., September 26, 2001, printed from <a href="http://www.computerworld.com/cwi/Printer_Friendly_Version/0.1212.NAV47_STO64233-.00...">http://www.computerworld.com/cwi/Printer_Friendly_Version/0.1212.NAV47_STO64233-.00...</a> on 1/8/02.							
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Form PTO 1442 (Rev. 2-32)		U.S. Department of Commerce Patent and Trademark Office	Atty. Docket No. SUN-6992	Serial No. 10/014,893
Information Disclosure Statement by Applicant			Applicant: de Jong et al.	
(Use several sheets if necessary)			Filed: 10/29/01 Group:	
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)				
108	V	Gong et al., "Going Beyond the Sandbox: An Overview of the New Security Architecture in the Java TM Development Kit 1.2", USENIX Symposium on Internet Technologies and Systems-December 8-11, 1997, pp. 103-112.		
	W	Haller, et al. "Description of the S/KEY One-Time Password System", printed on 1/9/02 from <a href="http://math.lanl.gov/~aric/Skey/skey.txt">http://math.lanl.gov/~aric/Skey/skey.txt</a> , 5 pages.		
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	Z	Islam, N. et al., "A Flexible Security Model for Using Internet Content", IBM Thomas J. Watson Research Center Papers, 'Online!', 6/28/97.		
	AA	"Kerberos: The Network Authentication Protocol", printed from <a href="http://web.mit.edu/kerberos/www/">http://web.mit.edu/kerberos/www/</a> on 1/9/02.		
	AB	"Liberty Alliance Project- The Liberty Alliance Project", printed from <a href="http://www.projectliberty.org/">http://www.projectliberty.org/</a> on 1/8/02.		
	AC	"Liberty Alliance Project-Industry Leaders to Form Network Identity and Liberty Alliance Project", printed from <a href="http://www.projectliberty.org/release.html">http://www.projectliberty.org/release.html</a> on 1/8/02.		
	AD	"Liberty Alliance Project-FAQ", printed from <a href="http://projectliberty.org.faq.html">http://projectliberty.org.faq.html</a> on 1/8/02.		
	AE	Lindholm, et al., "The JAVA™ Virtual Machine Specification", September 20, 1996.		
	AF	Naor, et al., "Universal One-Way Hash Functions and their Cryptographic Applications", March 13, 1995, pp. 1-14.		
	AG	Schneider, Professor Fred B., "Authentication-Lamport hash and biometrics", March 4, 1999, CS 513 - System Security, Lecture 12, printed from <a href="http://www.cs.cornell.edu/html/cs513-sp99/NL12.html">http://www.cs.cornell.edu/html/cs513-sp99/NL12.html</a> on 1/9/02.		
	AH	Sun Microsystems, Inc., "Java Card™ Runtime TM Environment (JRCE) 2.1 Specification, Draft 2", 12/14/98, US XP002138793 cited in the application page 6-2 page 6-10.		
	AI	Sun Microsystems, Inc., "How to write a Java Card applet: A developer's guide", printed on 4/22/00 from <a href="http://www.javaworld.com/javaworld/jw-07-1999/f_jw-070javacard_p.html">http://www.javaworld.com/javaworld/jw-07-1999/f_jw-070javacard_p.html</a> , 15 pages.		
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TBA		1/12/05		
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MP	AP	Sun Microsystems, Inc., "Java Card™ 2.0 Programming Concepts", October 15, 1997, Revision 1.0 Final.		
	AQ	Sun Microsystems, Inc., "Java Card™ 2.1 Application Programming Interface", June 7, 1999, Final Revision 1.1.		
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	AS	Sun Microsystems, Inc., "Java Card™ 2.1 Virtual Machine Specification", June 7, 1999, Final Revision 1.1.		
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	AV	Thomas, David J., "Smart and Smarter: The Emergence of Java Card™ Technology", printed on 4/22/00 from <a href="http://java.sun.com/features/1998/04/javacard.html">http://java.sun.com/features/1998/04/javacard.html</a> , 8 pages.		
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